



Enterprise Risk Management: Key Steps to Developing and Maintaining an ERM Programme

Date: June 26 & 27, 2019

Location: Courtyard by Marriott, Kingston, Jamaica

Who Should Attend: Board Members, CEOs, CFOs, Risk Managers, Compliance Officers, Internal Auditors and Managers of Banks and Credit Unions.

After successfully completing this program, you will be able to:

- Understand the purpose and benefits of ERM
- Develop an effective ERM Framework
- Create a risk appetite statement
- Align your risk appetite with your strategy
- Create effective responses to crossorganizational risks
- Demonstrate benefits from ERM implementation



- Facilitate risk identification and assessment sessions
- Assess your risk exposure through quantitative and qualitative analysis





About The Trainer



Ancin Cooley, CIA, CISA, is the Founder and Principal of Synergy Bank Consulting and Synergy Credit Union Consulting Inc. Synergy provides a range of risk management services to financial institutions, which include strategic planning, board training, loan reviews, IT audits, internal audits, and enterprise risk management reviews. As Principal, Ancin manages a growing portfolio of clients throughout the Caribbean and United States. He brings more than 10 years of experience to the industry. Ancin honed his skills while working as a regulatory examiner. As examiner, he performed examinations at credit unions ranging from \$100 million to \$8 billion in total assets. In addition, Ancin is the lead exam grader for the BAI Loan Review Certification.





Agenda





What is ERM and why should we implement this process?

Unit 1

Unit Objectives: Develop a conceptual understanding on risk capacity, risk profile, risk appetite, and risk tolerance Unit Activity:

Matching Exercise

The Case for ERM

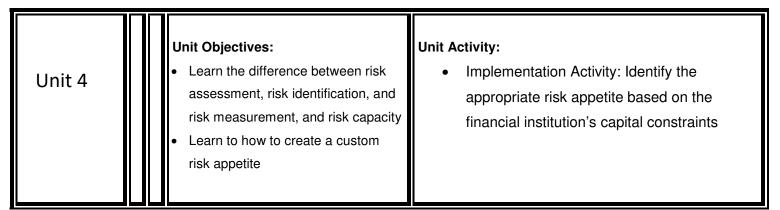
Unit 2	 Unit Objectives: Learn strategic advantages Learn to communicate the benefits to your Board 	 Unit Activity: Role Play: Defend this statement: "We don't think we need ERM at our financial institution.
Unit 3	Unit Objectives: Understand the fundament elements of a successful ERM program.	Unit Activity:Jeopardy game







Develop Your Risk Appetite



Develop Your Risk Monitoring Process

		Unit Activity:
Unit 5	Unit Objectives:	Case Study 1: Review Credit Risk
	Understand the date and reporting	Report
	processing needed to effectively monitor	Case Study 2: Review Asset/Liability
	risk tolerances.	Management report







ERM Management

Recap	Unit Objectives:Re-cap of previous day	Unit Activity:Application Exercise
Unit 6	 Unit Objectives: Understand the Board and Management's role in the ERM framework. 	Unit Activity:Matching Exercise
Unit 7	Unit Objectives: • Learn how the ERM framework affects each department: • Credit • Investments • Operations • Compliance • Information Technology	 Unit Activity: Development departmental risk appetite, risk tolerances, and monitoring mechanisms.
Conclusion	 Unit Objectives: Components of an ERM Audit Discuss how to implement or improve your ERM process in 90 days. 	Unit Activity: Question and Answer Session







SIGN UP INFORMATION

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