

Enterprise Risk Management: Key Steps to Developing and Maintaining an ERM Programme

Date: June 26 & 27, 2019

Location: Courtyard by Marriott, Kingston, Jamaica

Who Should Attend: Board Members, CEOs, CFOs, Risk Managers, Compliance Officers, Internal Auditors and Managers of Banks and Credit Unions.

After successfully completing this program, you will be able to:

- **Understand the purpose and benefits of ERM**
- **Develop an effective ERM Framework**
- **Create a risk appetite statement**
- **Align your risk appetite with your strategy**
- **Create effective responses to cross-organizational risks**
- **Demonstrate benefits from ERM implementation**
- **Facilitate risk identification and assessment sessions**
- **Assess your risk exposure through quantitative and qualitative analysis**



About The Trainer



Ancin Cooley, CIA, CISA, is the Founder and Principal of Synergy Bank Consulting and Synergy Credit Union Consulting Inc. Synergy provides a range of risk management services to financial institutions, which include strategic planning, board training, loan reviews, IT audits, internal audits, and enterprise risk management reviews. As Principal, Ancin manages a growing portfolio of clients throughout the Caribbean and United States. He brings more than 10 years of experience to the industry. Ancin honed his skills while working as a regulatory examiner. As examiner, he performed examinations at credit unions ranging from \$100 million to \$8 billion in total assets. In addition, Ancin is the lead exam grader for the BAI Loan Review Certification.



Agenda



What is ERM and why should we implement this process?

Unit 1	<p>Unit Objectives:</p> <ul style="list-style-type: none"> Develop a conceptual understanding on risk capacity, risk profile, risk appetite, and risk tolerance 	<p>Unit Activity:</p> <ul style="list-style-type: none"> Matching Exercise
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The Case for ERM

Unit 2	<p>Unit Objectives:</p> <ul style="list-style-type: none"> Learn strategic advantages Learn to communicate the benefits to your Board 	<p>Unit Activity:</p> <ul style="list-style-type: none"> Role Play: Defend this statement: "We don't think we need ERM at our financial institution."
Unit 3	<p>Unit Objectives:</p> <ul style="list-style-type: none"> Understand the fundamental elements of a successful ERM program. 	<p>Unit Activity:</p> <ul style="list-style-type: none"> Jeopardy game



Develop Your Risk Appetite

<p>Unit 4</p>	<p>Unit Objectives:</p> <ul style="list-style-type: none"> • Learn the difference between risk assessment, risk identification, and risk measurement, and risk capacity • Learn to how to create a custom risk appetite 	<p>Unit Activity:</p> <ul style="list-style-type: none"> • Implementation Activity: Identify the appropriate risk appetite based on the financial institution's capital constraints
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Develop Your Risk Monitoring Process

<p>Unit 5</p>	<p>Unit Objectives:</p> <ul style="list-style-type: none"> • Understand the date and reporting processing needed to effectively monitor risk tolerances. 	<p>Unit Activity:</p> <ul style="list-style-type: none"> • Case Study 1: Review Credit Risk Report • Case Study 2: Review Asset/Liability Management report
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Day 2



ERM Management

Recap	<p>Unit Objectives:</p> <ul style="list-style-type: none"> • Re-cap of previous day 	<p>Unit Activity:</p> <ul style="list-style-type: none"> • Application Exercise
Unit 6	<p>Unit Objectives:</p> <ul style="list-style-type: none"> • Understand the Board and Management's role in the ERM framework. 	<p>Unit Activity:</p> <ul style="list-style-type: none"> • Matching Exercise
Unit 7	<p>Unit Objectives:</p> <ul style="list-style-type: none"> • Learn how the ERM framework affects each department: <ul style="list-style-type: none"> • Credit • Investments • Operations • Compliance • Information Technology 	<p>Unit Activity:</p> <ul style="list-style-type: none"> • Development departmental risk appetite, risk tolerances, and monitoring mechanisms.
Conclusion	<p>Unit Objectives:</p> <ul style="list-style-type: none"> • Components of an ERM Audit • Discuss how to implement or improve your ERM process in 90 days. 	<p>Unit Activity:</p> <p>Question and Answer Session</p>



SIGN UP INFORMATION

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